

COPY OF  
ORIGINAL FILE

# 5



## UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

JUN 19 2002

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/071,859	02/06/2002	John R. Desjarlais	823.0093USQ

CONFIRMATION NO. 2777

## FORMALITIES LETTER



\*OC000000007884603\*

 PAUL D. GREELEY, ESQ.  
 OHLANDT, GREELEY, RUGGIERO & PERLE, L.L.P.  
 10th FLOOR  
 ONE LANDMARK SQUARE  
 STAMFORD, CT 06901-2682

Date Mailed: 04/17/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 870.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

2025T90"658T/00T



(23)

COPY OF PAPERS  
ORIGINALLY FILED

MPS

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): John R. Desjarlais  
Serial No.: 10/071,859  
Filed: February 6, 2002  
For: APPARATUS AND METHOD FOR DESIGNING PROTEINS AND  
PROTEIN LIBRARIES  
Examiner: Not Yet Assigned  
Art Unit: 2857  
Attorney Docket: 823.0093USQ  
Confirmation No.: 2777

**Box MISSING PARTS  
COMMISSIONER FOR PATENTS  
Washington, D.C. 20231**

Dear Sir:

**TRANSMITTAL OF MISSING PARTS OF APPLICATION AND  
PAYMENT OF SURCHARGE UNDER 37 C.F.R. §1.16(e)**

Transmitted herewith are the following documents pertaining to the above-identified application:

- XXX** Declaration and Power of Attorney form executed by **John R. Desjarlais**;
- Declaration Claiming Small Entity Status (Small Business Concern);
- XXX** 6 Sheets of Drawings;
- XXX** An Assignment of the Invention to : **The Penn State Research Foundation**,  
including \$40.00 recordation fee and Assignment Recordation Cover Sheet;
- A Certified Copy of the Priority Application;
- Information Disclosure Statement (Form - 1449); and
- XXX** A copy of the Notice to File Missing Parts of Application Filing Date Granted.
- Petition and Fee for Extension of Time (37 CFR 1.136(A)).

**COPY OF PAPERS  
ORIGINALLY FILED**

The Filing Fee is calculated below.

<b>CLAIMS AS FILED</b>				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$740.00
Total Claims	17 - 20 =	0	x \$18.00	\$0.00
Independent Claims	3 - 3 =	0	x \$84.00	\$0.00
Multiple Dependent Claim Fee			x \$280.00 =	\$0.00
<b>TOTAL FILING FEE</b>				<b>\$740.00</b>

<b>1/2 FILING FEE FOR SMALL ENTITY</b>	<b>\$370.00</b>
--	-----------------

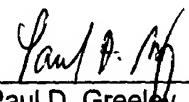
**XXX** The undersigned attorney has verified that the applicant is entitled to a Small Entity Status;

**XXX** A check in the amount of **\$ 475.00** to cover the filing fee ( \$370.00), surcharge (\$65.00), and assignment recordation fee (\$40.00).

**XXX** The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

June 12, 2002

Date

  
 Paul D. Greeley, Esq.  
 Ohlandt, Greeley, Ruggiero & Perle, L.L.P.  
 Attorney for Applicant(s)  
 Registration No. 31,019  
 Telephone: (203) 327-4500  
 Telefax: (203) 327-6401

**CERTIFICATE OF MAILING**

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: BOX MISSING PARTS, COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON JUNE 12, 2002.

Jeffrey J. Scepanski  
 NAME.

  
 SIGNATURE

06/12/02  
 DATE